



## Study on the interaction of Basic Violet 2 with hydroxypropyl- $\beta$ -cyclodextrin

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### ABSTRACT

The formation of the inclusion complexes of Basic Violet 2 (New Fuchsin) with hydroxypropyl- $\beta$ -cyclodextrin (HP- $\beta$ -CD) was studied by UV–Vis absorption and fluorescence spectroscopy. The binding constants of the inclusion complexes,  $K_B$ , were obtained considering the maximum shift of the absorption spectra, the absorption areas deconvoluted as the sum of two gaussian constituents, the relative fluorescence quantum yield and the fluorescence maximum shift. The values achieved were  $2.7 \times 10^3 \text{ M}^{-1}$ ,  $0.95 \times 10^3 \text{ M}^{-1}$  and  $2.8 \times 10^3 \text{ M}^{-1}$ ,  $2.2 \times 10^3 \text{ M}^{-1}$ , respectively. *Ab-initio* calculations using B3LYP/6-31G level of approach and the natural bond orbital (NBO) analysis were performed to gain a deeper understanding of the inclusion phenomena. The result showed a high complexation in aqueous media.

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### 1. Introduction

Photodynamic therapy (PDT) is a treatment modality, which has demonstrated the ability to detect and eradicate cancer. The PDT acts through at least three principal mechanisms: direct cell killing by lethal oxidative damage to tumor cells; indirect cell killing due to photodynamic damage to, or shutdown of, the (neo)vasculature with loss of oxygen and nutrients to the tumor; and additional anti-tumor contributions from the inflammatory and immune responses of the host. The three components are deemed necessary for the best long-term response; however, their relative roles vary considerably depending on the photosensitizer, its subcellular and tissue distribution, the tumor type and its microvasculature, and the type and duration of inflammatory and immune responses elicited in the host [1]. This therapy uses light activated drugs, with an appropriate wavelength, to trigger a photochemotherapeutic mechanism capable of destroying tumor cells selectively. The preferential localization of the drug allows a selective activation, thereby causing a cytotoxic reaction at lethal levels in dysplastic lesions and fully developed carcinomas [2–4].

As discussed in Allison et al. clinically relevant photosensitizers can be classified into one of three broad chemical families: (i)

porphyrins, formed in most cell types from their precursor  $\delta$ -aminolevulinic acid (ALA); (ii) chlorophyll derivatives; and (iii) dye substances. Second-generation photosensitizers are pure in a chemical sense and offer high quantum yields for the triplet state generation and formation of reactive oxygen species (ROS) [5]. The study of cationic dyes, in particular triarylmethanes (TAMs) and their derivatives, is increasingly being conducted in medicine due to their potential use as photosensitizing agents. The electrical potential across the mitochondrial membrane in tumor cell is much more negative (around 60 mV) than that in normal cells [6]. It is believed that this fact is responsible for a preferential accumulation of the dye bearing the localized positive charge in tumor cells. Thus, the photosensitizer (dye) combined with light at mitochondrial level should promote the neoplastic cell death.

The selective phototoxicity of some TAMs towards tumor cells indicates that these dyes display structural features that could be used as guidelines for the development of new techniques to treat neoplastic diseases [7]. Furthermore, TAM dyes have been reported to be active against intestinal helminths, filariae, trichomonads and trypanosomes [8]. In current clinical practice, PDT is considered an ablative procedure directed mainly at oncologic target and their vascular supply [9].

Research on molecular recognition has attracted much attention in supramolecular chemistry involving host-guest system to be used especially as drug carrier to reach specific targets. Cyclodextrins (CD) are commonly used to study those systems which consist

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of six ( $\alpha$ -CD), seven ( $\beta$ -CD) and eight ( $\gamma$ -CD) sugar units. CDs are generally represented as truncated cones with conical cavities capable of incorporating selectively a great variety of organic guests to form inclusion complexes in aqueous solutions. The cavity within CDs is hydrophobic and less polar than the surrounding water molecules. The complexation phenomenon often results in a significant variation in the photophysical and photochemical properties of guest molecules due to the microenvironmental difference inside the CD and the aqueous medium [10]. A correct interpretation of the inclusion phenomenon and an accurate determination of the binding constants of these complexes are fundamental topics to such investigations. Current methods for the measurement of the inclusion constant include UV–Vis spectrophotometry, spectrofluorometry, as well as electrochemical methods.

A wide variety of studies of TAMs have been previously reported, including their use in photodynamic antimicrobial chemotherapy [11,12], protein binding [13–15] and their behavior in different solvents [16,17], among others [18–20]. Nevertheless, although Basic Violet 2 (New Fuchsin, see Scheme 1) belongs to the TAM family, only scarce information on this dye can be found in the literature. Its absorption spectrum in solution appears to be composed of two bands which have been interpreted on the basis of the existence of two ground states in equilibrium [21–25]. It was also proposed that one of these bands should correspond to a propeller structure ( $D_3$  symmetry), already identified as a ground-state structure of Basic Violet 3 (Crystal Violet) [26–28]. The other band should correspond to the distorted propeller arrangement caused by the rotation of two phenyl rings and the third phenyl ring having a double bond with the central carbon, in which the positive charge is located on the amine group of the last phenyl group ( $C_2$  symmetry). This structure is expected by the molecular arrangement of Basic Violet 3, although no evidence for could be found for  $C_2$  isomer, some studies arguing against this structure [21–25,29–31].

In a particularly interesting study concerning Basic Violet 3 and using different alcohols as solvents, Maruyama et al. suggest the presence of two ground-state isomers in equilibrium; one of these is a planar ground-state structure of Basic Violet 3 having  $D_3$  symmetry; the other with the three bonds on the central carbon bent out of plane having a pyramidal structure with  $C_3$  symmetry. The isomerization rate is reported to be independent of the solvent viscosity (rate of about 500 fs for several alcohols) [25,32]. For example, Basic Violet 2 could have two or more solvation forms interacting with polar solvent molecules. When the solvent molecule approaches the central carbon, it would be pulled outside, thus

the planar ( $D_3$ ) structure of Basic Violet 2 may become pyramidal ( $C_3$ ). When the interaction between the central carbon and the solvent molecule breaks, Basic Violet 2 returns to the propeller structure. Since Basic Violet 2 solvated with a solvent molecule close to the central carbon should have a rather lower energy, the pyramidal structure ( $C_3$ ) should correspond to the isomer of lower energy (maximum of the absorption band), and the propeller structure ( $D_3$ ) to the isomer of higher energy (shoulder of the absorption band).

In this paper, we report the experimental results of UV–Vis absorption and fluorescence emission spectra studies of the inclusion complexes between Basic Violet 2 and hydroxypropyl- $\beta$ -cyclodextrin (HP- $\beta$ -CD). The absorption spectra were examined by a theoretical treatment, taking into account the absorption bands (from 400 nm to 650 nm) resolved as the sum of two gaussian constituents, and in accordance with the existence of the two isomers, namely  $D_3$  and  $C_2$ . *Ab-initio* calculations, using B3LYP/6-31G level of approach; natural bond orbital (NBO) analyses were performed to understand both the inclusion phenomena and the implication that the dye symmetry has over the inclusion complex's spectroscopic behavior.

## 2. Experimental

### 2.1. Materials

The commercial product Basic Violet 2 (Scheme 1) was obtained from Sigma Chemical Co. (St. Louis, MO) and purified chromatographically. A silica gel (70–230 mesh, Sigma Chemical Co.) filling a 750 mm  $\times$  23 mm glass tube was used as the preparative column, and the purity was confirmed by HPLC in a Waters Spherisorb ODS 2; 250  $\times$  4.6 mm, 5  $\mu$ m (Supelco Inc) [33].

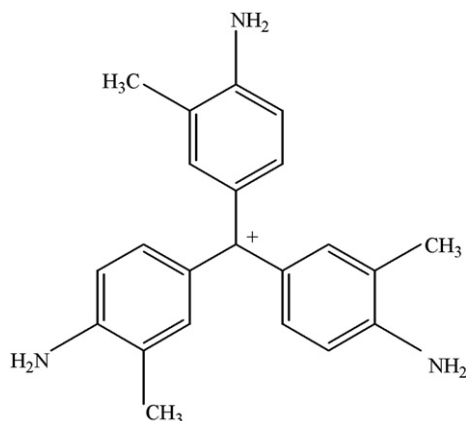
HP- $\beta$ -CD was kindly supplied by Cerestar USA, Inc Lot G 8120. D-(+)-Glucose was obtained from Sigma. Milli-Q grade water was used. 2-Propanol (Sintogran HPLC grade) was used without further purification. All other chemicals were analytical grade.

### 2.2. Methods

Absorption spectra were recorded using an Agilent 8453 UV–Vis spectrophotometer with diode array detector and 1 nm resolution. Steady-state luminescence measurements were performed using a Quanta Master QM2 Spectrofluorometer from PTI (Photon Technology International) equipped with a Hamamatsu R928 PMT in a photon counting detector. The samples were excited at  $\lambda_{\text{exc}} = 500$  nm and the corrected fluorescence spectra were acquired from 520 to 800 nm. The relative fluorescence quantum yield of NF was taken as the ratio between the area under the fluorescence spectrum at different CD concentrations and the fluorescence spectrum in the absence of CD.

In a typical experiment the solutions were prepared one day before and maintained in the refrigerator; the concentrations of Basic Violet 2 were kept on  $\sim 1.00 \times 10^{-5}$  M and [HP- $\beta$ -CD] varied from 0 to  $8.24 \times 10^{-3}$  M. The experiments were carried out at room temperature in aqueous solutions. The possible formation of Basic Violet 2 aggregates in aqueous solution was previously tested. The absorption spectra of the dye at different concentrations ranging from 0.64 to  $2.2 \times 10^{-5}$  M were recorded. The normalized spectra at 546 nm did not show significant changes in its shape (data not shown), which allowed concluding that, in this concentration range, Basic Violet 2 did not form aggregates.

Origin 7.0 of OriginLab Corporation software was used to evaluate the association constants by linear least square fitting. The fitting process was achieved in agreement with Benesi-Hilderbrand



Scheme 1. Basic Violet 2 molecule.

1:1 equilibrium and the deconvolution according to two gaussian components of the absorption bands [34].

### 2.3. Computational details

In order to perform the potential energy surface study, the Basic Violet 2- $\beta$ -CD system was chosen for the analysis due that it was describe in the literature a very good concordance in the inclusion of a guest with HP- $\beta$ -CD or  $\alpha$ -CD as host [35,36]. This selection has also considered that this system represents a less-consuming calculation time. With this in mind, geometrical parameters were obtained by *ab-initio* calculations, using B3LYP method [37–39]. The Basic Violet 2,  $\beta$ -cyclodextrin ( $\beta$ -CD), and Basic Violet 2- $\beta$ -CD complex geometries were optimized using standard convergence criteria without symmetry restrictions. Frequencies were evaluated to check that the calculated geometry corresponded to a minimum in the potential energy surface, and to assess the zero-point energy. The 6-31G basis set was used with the B3LYP method. All calculations were performed with the Gaussian 03 Package [40]. The NBO analysis was carried out using the 3.1 version of the NBO package included in the program, with a higher level of basis set (6-311 + g\*\*) [41,42].

## 3. Results and discussion

### 3.1. Formation of the inclusion complexes of Basic Violet 2 with cyclodextrin

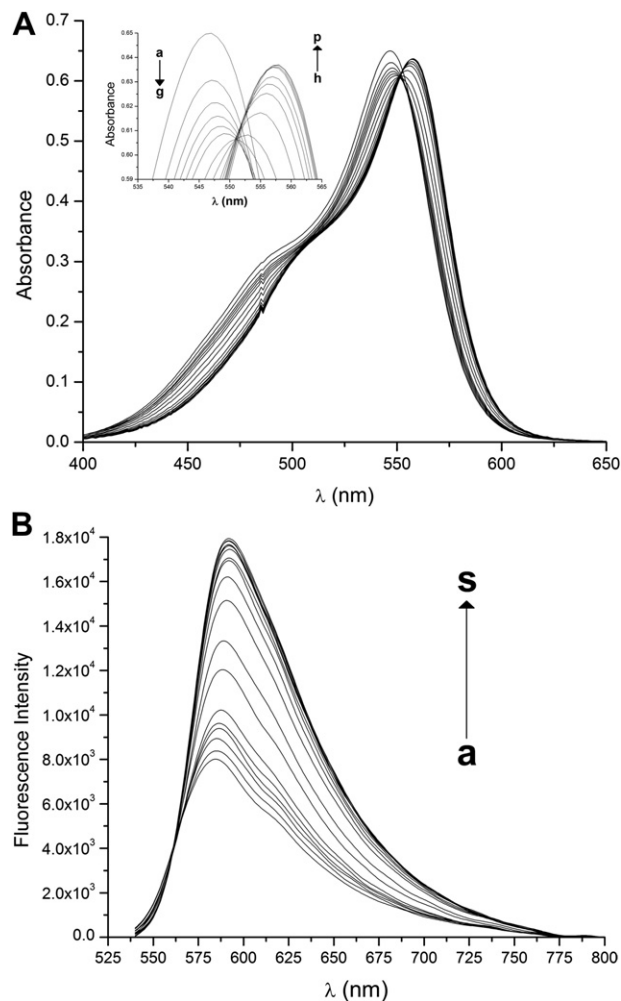
Absorption and emission spectra of Basic Violet 2 were taken in the presence and absence of different HP- $\beta$ -CD concentrations and the effect on the absorption and emission intensities was observed. Interestingly, the results show that both spectra depend on the concentration of HP- $\beta$ -CD. The maximum absorption wavelength of pure Basic Violet 2 lies on 546 nm. When an increasing concentration of HP- $\beta$ -CD occurred, the absorbance at the maximum gradually decreased in the range of low HP- $\beta$ -CD concentrations reaching a minimum; it then increased in the range of high concentrations. In any case, a shift to longer wavelength (from 546 to 558 nm) was observed in the absorption spectrum. It can also be observed that the shoulder becomes less pronounced when increasing [HP- $\beta$ -CD] (further discussed below). The emission spectrum also showed the same shift effect although less pronounced. Fig. 1 depicts the experimental results.

The photophysical and photochemical properties of the guest molecule are altered due to the formation of a host–guest complex (Basic Violet 2-HP- $\beta$ -CD). To understand the changes found in the absorption and emission spectra of the Basic Violet 2 in the presence of HP- $\beta$ -CD, different contributions to the global changes must be taken into account.

In order to distinguish the spectra modification produced by the inclusion of the dye in the CD cavity from other effects (nonspecific interaction between dye and CD or changes in solvent properties due to the presence of CD) and to confirm the formation of the complex, two tests were performed: the glucose and the alcoholic test. Absorption and emission experiments were carried out using D-(+)-glucose and different 2-propanol:water mixtures instead of HP- $\beta$ -CD to study solvent effect and changes in the polarity (to mimic the hydrophobic environments) respectively, when dye is included in the cavity.

#### 3.1.1. Glucose test

Amounts of D-(+)-glucose (monomer of CD) comparable to those of the CD were used. The effect of glucose solutions was tested, like a solvent, on absorption and emission spectra, knowing that the inclusion effect was not possible. Fig. 2 shows that when

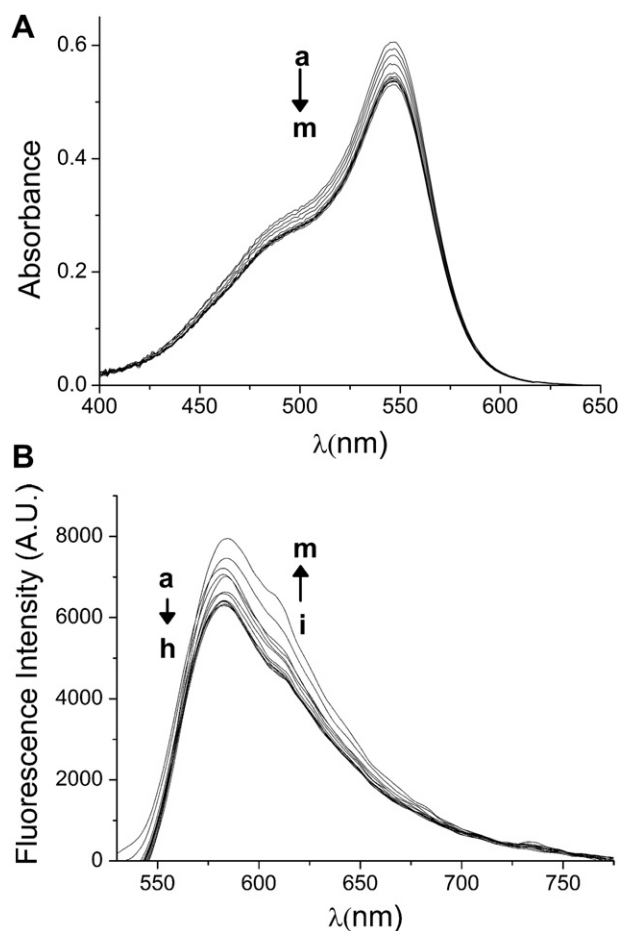


**Fig. 1.** A: Basic Violet 2 absorption spectrum in the presence of different concentrations of HP- $\beta$ -CD. From left to right, the HP- $\beta$ -CD concentration varied from 0 to  $6.18 \times 10^{-3}$  M. B: Fluorescence spectra of Basic Violet 2 in the presence and absence of HP- $\beta$ -CD (0– $8.24 \times 10^{-3}$  M) in water. All concentrations (a to s) are detailed in Table 1.

the glucose concentration increases, the absorption maximum at 546 nm gradually decreases in the range of the concentration studied without causing changes in the position of  $\lambda_{\max}$ . On the other hand, the fluorescence quantum yield decreases when glucose is added in the range of low concentration. However, by increasing the glucose concentration, the quantum yield reaches a minimum and rises slowly, and no  $\lambda_{\text{em}}$  shift was found either. The decrease in the quantum yield at low concentrations is consistent with the variation found in the absorption spectrum, and at high glucose concentrations, the slight increase observed in the quantum yield could be attributed to changes in viscosity and/or a specific interaction between glucose and Basic Violet 2. Taking into account that no shift,  $\lambda_{\max}$  or  $\lambda_{\text{em}}$ , was observed, the results differed from those found when CD was added, confirming that the CD induces other spectral changes that could be attributed to the formation of an inclusion complex.

#### 3.1.2. Alcoholic test

The polarity of the hydrophobic cavity of CDs is similar to that of the alcohols (i.e., 2-propanol). In order to test whether the inclusion derives from changes in the polarity of the medium, the absorption and emission spectra of Basic Violet 2 were investigated at different 2-propanol:water percentages from 0:100 to 100:0 (Fig. 3). It can be



**Fig. 2.** Absorption and emission spectra of Basic Violet 2 in the presence of various concentrations of glucose. The glucose concentrations used were a = 0; b =  $2.63 \times 10^{-6}$ ; c =  $1.01 \times 10^{-5}$ ; d =  $2.04 \times 10^{-5}$ ; e =  $4.04 \times 10^{-5}$ ; f =  $7.90 \times 10^{-5}$ ; g =  $1.58 \times 10^{-4}$ ; h =  $3.13 \times 10^{-4}$ ; i =  $6.45 \times 10^{-4}$ ; j =  $1.31 \times 10^{-3}$ ; k =  $2.50 \times 10^{-3}$ ; l =  $5.06 \times 10^{-3}$ ; and m =  $6.71 \times 10^{-3}$  M.

seen that the absorption spectrum does not change its shape and shows a bathochromic shift from 546 to 555 while the 2-propanol percentage increases. As the percentage of 2-propanol in the mixed solvents increases, the fluorescence intensity is considerably enhanced with no significant  $\lambda_{em}$  shift.

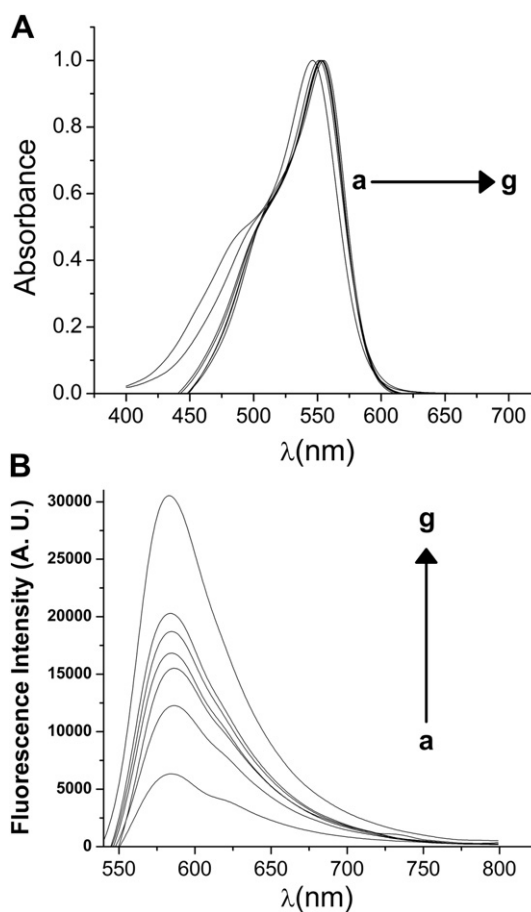
In view of the results described, the changes observed in Fig. 1A can be interpreted as revealing a combined effect of the CD as a solvent (decrease in the absorption bands) and the inclusion effect (increase in the absorption band with the bathochromic shift). The enhancement of the emission intensity at high concentrations of CD can be attributed to the inclusion effect (Fig. 1B); this suggests that, due to the steric restriction imposed by the CD cavity on the rotation of amine ring of Basic Violet 2, a fluorescence quantum yield is larger than that of the free dye in solution.

### 3.2. Determination of association constants

The formation of a complex between Basic Violet 2 and HP- $\beta$ -CD can be represented as:



where *dye* stands for Basic Violet 2 and *dye*·CD denotes the association complex, which may be a surface or an inclusion complex in



**Fig. 3.** Absorption and emission spectra of Basic Violet 2 in the presence of various percentages of 2-propanol. For the fluorescence graph (from bottom to top), and for the absorbance graph (from left to right), the 2-propanol:water relation was a = 0:100, b = 20:80, c = 40:60, d = 50:50, f = 60:40, g = 80:20, h = 100:0.

aqueous or non-aqueous media. The expression for the binding constant is given by:

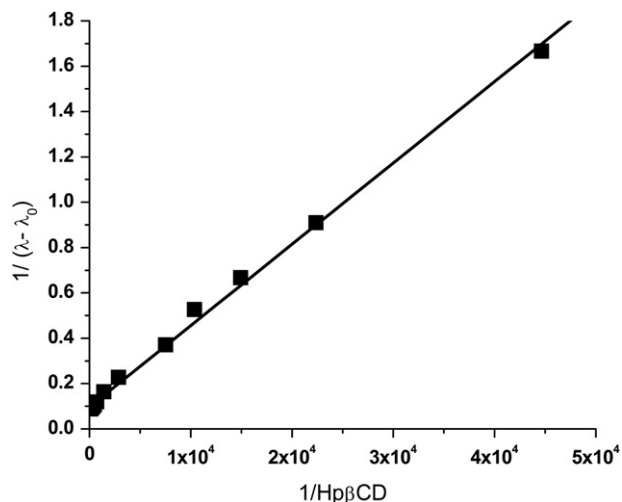
$$K_B = \frac{[\text{dye} \cdot \text{CD}]}{[\text{dye}][\text{CD}]} \quad (2)$$

The formation constant for the binary complex between CD and Basic Violet 2 can be evaluated using the Benesi–Hildebrand equation (3):

$$\frac{1}{\lambda - \lambda_0} = \frac{1}{K_B \times (\lambda_{CD} - \lambda_0) \times [\text{CD}]_0} + \frac{1}{\lambda_{CD} - \lambda_0} \quad (3)$$

where  $\lambda$ ,  $\lambda_0$  and  $\lambda_{CD}$  represent the wavelength of the maximum absorption as function of HP- $\beta$ -CD concentration, the wavelength of the maximum absorption without HP- $\beta$ -CD, and the wavelength of the maximum absorption when a large amount of HP- $\beta$ -CD is added, respectively [43]. This equation can be used whenever the  $[\text{HP-}\beta\text{-CD}] \gg [\text{Basic Violet 2}]$  condition is fulfilled. In our case, this last condition holds since the  $[\text{HP-}\beta\text{-CD}]/[\text{Basic Violet 2}]$  ratio in concentrations is larger than  $10^3$ . Fig. 4 shows Benesi–Hildebrand plot for a 1:1 equilibrium according to Eq. (3) and least square linear fit analysis, yielding a value of  $K_B$  equal to  $(2.7 \pm 0.2) \times 10^3 \text{ M}^{-1}$ . This value is good agreement with the data cited in the literature for similar systems considering a 1:1 host–guest equilibrium [32,44,45]. In addition, it is necessary to indicate that the results obtained were not coherent when the fitting process was achieved in agreement with Benesi–Hildebrand 1:2 equilibrium and the





**Fig. 4.** Benesi-Hildebrand for the shifting of  $\lambda_{\max}$  as a function of [HP- $\beta$ -CD]; the concentration of Basic Violet 2 was  $1.00 \times 10^{-5}$  M and [HP- $\beta$ -CD] was varied from 0 to  $8.24 \times 10^{-3}$  M.

deconvolution according to three gaussian components of the absorption bands.

As we stated above, Fig. 1 shows that the shoulder becomes less pronounced when increasing [HP- $\beta$ -CD]. The absorption spectra were analyzed theoretically as composing of several gaussian curves. The deconvolution was done using two and three components and the best results were achieved with two gaussians. The fitting process was carried out using Eq. (4), where  $A$ ,  $w$  and  $\lambda$  stand for the absorption intensity, the width and the maximum wavelength for the individual gaussian corresponding to the shoulder (s) and the maximum (m), respectively.

$$y = y_0 + \frac{A_s}{w_s \sqrt{\pi/2}} e^{-2\left(\frac{\lambda - \lambda_s}{w_s}\right)^2} + \frac{A_m}{w_m \sqrt{\pi/2}} e^{-2\left(\frac{\lambda - \lambda_m}{w_m}\right)^2} \quad (4)$$

According to the theoretical data we propose the existence of two isomers in equilibrium ( $D_3$  and  $C_2$ , see below). In the absence of HP- $\beta$ -CD, the highest energy gaussian component is centered at  $\lambda_s = 506$  nm (shoulder) and should correspond to the  $C_2$  isomer of the ground state while the gaussian with lowest energy  $\lambda_m = 549$  nm (maximum) should correspond to the  $D_3$  isomer. The last one gains relevance when the dye is included in the HP- $\beta$ -CDs. Table 1 shows the parameters obtained from the fitting process. By increasing [HP- $\beta$ -CD], a slight decrease in  $\Delta\lambda$  ( $\Delta\lambda = \lambda_m - \lambda_s$ ) is observed and it could be interpreted as a Basic Violet 2 migration to a less polar medium (from aqueous medium to a less polar CD cavity) [46]. These results confirm that the formation of complexes promoted by an increase in the HP- $\beta$ -CD concentration produces a shift in the host-guest equilibrium 2 with both gaussian parameters ( $\lambda_m$  and  $\lambda_s$ ) showing a bathochromic effect. As seen from Eq. (4), the percentage of the area of the first gaussian (G1) in the absence of the HP- $\beta$ -CD is 65.0%; however, when [HP- $\beta$ -CD] increases up to  $8.24 \times 10^{-3}$  M, this area shows a steady increase up to 69.4%. In view of the change in the theoretical percentage of the gaussian areas and using eq. (3), the binding constant was estimated in  $K_B = (0.95 \pm 0.05) \times 10^3 \text{ M}^{-1}$ , correlating closely with the experimental absorbance value (Fig. 5). The model for representing the absorption spectra of TAMs considering as composing of a series of additive gaussian functions was successfully applied in several studies [25,32,47,48]. The aim of this analysis was to evaluate the model by comparison with the association

**Table 1**

Percentage of the theoretical areas for the Gaussian components calculated from the deconvolution of the absorption spectra at different HP- $\beta$ -CD concentrations.

Nomenclature	[HP- $\beta$ -CD] M	% A <sub>1</sub> (G1)	$\lambda_1$ (nm)	% A <sub>2</sub> (G2)	$\lambda_2$ (nm)	$\lambda_{\text{total}}$ (nm)	$\Delta\lambda$ (nm)
a	0	65.0	506	35.0	549	546	43
b	$2.24 \times 10^{-5}$	65.0	506	35.0	550	547	44
c	$4.47 \times 10^{-5}$	64.8	507	35.2	550	547	43
d	$6.69 \times 10^{-5}$	65.0	508	35.0	551	547	43
e	$9.64 \times 10^{-5}$	64.9	509	35.1	551	548	42
f	$1.33 \times 10^{-4}$	64.7	509	35.3	552	549	43
g	$3.48 \times 10^{-4}$	65.4	512	34.6	554	550	42
h	$7.01 \times 10^{-4}$	66.1	514	33.9	555	552	41
i	$1.38 \times 10^{-3}$	67.4	517	32.6	557	554	40
j	$2.07 \times 10^{-3}$	68.1	519	31.9	558	555	39
k	$2.76 \times 10^{-3}$	68.4	520	31.6	559	555	39
l	$3.39 \times 10^{-3}$	68.8	521	31.2	559	556	38
m	$4.12 \times 10^{-3}$	69.0	521	31.0	559	556	38
n	$4.79 \times 10^{-3}$	69.1	522	30.9	559	556	38
o	$5.45 \times 10^{-3}$	69.2	522	30.8	560	557	38
p	$6.18 \times 10^{-3}$	69.1	522	30.9	560	557	38
q	$6.86 \times 10^{-3}$	69.3	522	30.7	560	557	38
r	$7.53 \times 10^{-3}$	69.4	522	30.6	560	557	38
s	$8.24 \times 10^{-3}$	69.4	522	30.6	560	557	38

constants obtained experimentally by absorption and fluorescence. In the present study, a good fitting was achieved considering the absorption spectrum as the sum of only two gaussian functions. The theoretical association constant calculated taking into account the areas of its component gaussians correlates quite well with the experimental results, allowing us to corroborate the validity to the model used.

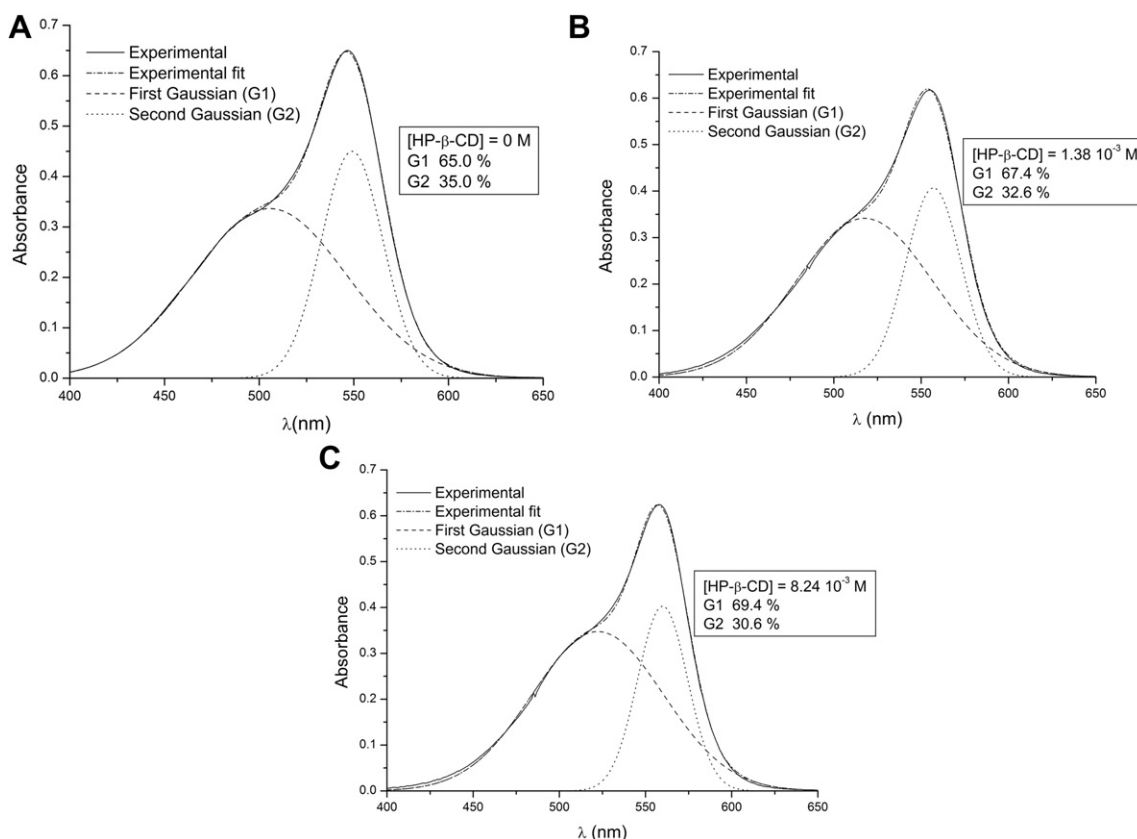
The binding constant was also calculated from the relative quantum yield and fluorescence maxima shift data from the emission spectrum using also Eq. (3). Due to the low fluorescence quantum yield of this system, the experimental data show error larger than that of the absorption data.

Table 2 shows  $K_B$  values for the different alternatives of calculation. The values of the calculated constants confirm the formation process of complex; in all cases similar values were also obtained with good correlation coefficients. Further, the constant calculated by the theoretical areas correlated very well with those from experimental data and in all cases the results indicated a 1:1 stoichiometry.

### 3.3. Ab-initio calculus

Theoretical calculations (geometry optimizations, frequencies and electronic delocalizations) were performed to gain further insight into interactions between Basic Violet 2 and  $\beta$ -CD. In order to represent adequately what was experimentally observed, calculi in water as solvent (using the PCM model) and with 6-311G bases set were attempted to perform. However, it was computationally highly expensive, and the convergence criteria could not be reached. For this reason, all the calculations were carried out considering the systems at vacuum and at 6-31G level of approach. For the sake of simplicity, Basic Violet 2 and  $\beta$ -CD have been chosen on the grounds that the latter provides the same information on the inclusion phenomenon, as mentioned before.

The structure of  $\beta$ -CD in solution, obtained by ONIOM2 method [49] was reported. These results show a  $\beta$ -CD molecule slightly distorted on the tip of the truncated cone, due to the deviation of some of the OH- groups in water. The energies of the distorted and not-distorted  $\beta$ -CD and the Basic Violet 2 molecules were calculated to obtain the stabilization energy originated by the formation of the inclusion complex in both systems (Basic Violet 2- $\beta$ -CD<sub>distorted</sub> and Basic Violet 2- $\beta$ -CD<sub>not-distorted</sub>).



**Fig. 5.** Deconvolution of the Basic Violet 2 absorption spectrum in the corresponding Gaussian components at different HP- $\beta$ -CD concentrations. The concentration of Basic Violet 2 was  $1.00 \times 10^{-5}$  M and [HP- $\beta$ -CD] = a) 0.0 M; b)  $1.38 \times 10^{-3}$  M; and c)  $8.24 \times 10^{-3}$  M.

The NBO analysis was performed in order to make a deeper study of the magnitude of the electronic interactions between the Basic Violet 2 and the  $\beta$ -CD molecules.

### 3.3.1. Conformational analysis

As mentioned in 3.3 section,  $\beta$ -CD presents a distortion in aqueous media according to the theoretical calculations. As all the calculus must be carried out in gas phase, we have considered both structures as possible in the complex formation. The lower complex energy interaction corresponds to the Basic Violet 2- $\beta$ -CD<sub>distorted</sub> system, which is also about 43.54 Kcal mol<sup>-1</sup> more stable than the energies of each compound at vacuum. This energy value (lower than that of a net bond) is large enough to account for the complex stability in comparison with the isolated species. Thus, hereinafter, the  $\beta$ -CD and Basic Violet 2- $\beta$ -CD systems shall be referred to as systems with  $\beta$ -CD<sub>distorted</sub>.

A notable aspect to take account of is the change in the symmetry of Basic Violet 2, from  $D_3$  (free species) to  $C_2$  symmetry (complexed species). This fact is in agreement with our spectroscopic results. In a top view of the Basic Violet 2- $\beta$ -CD<sub>distorted</sub>

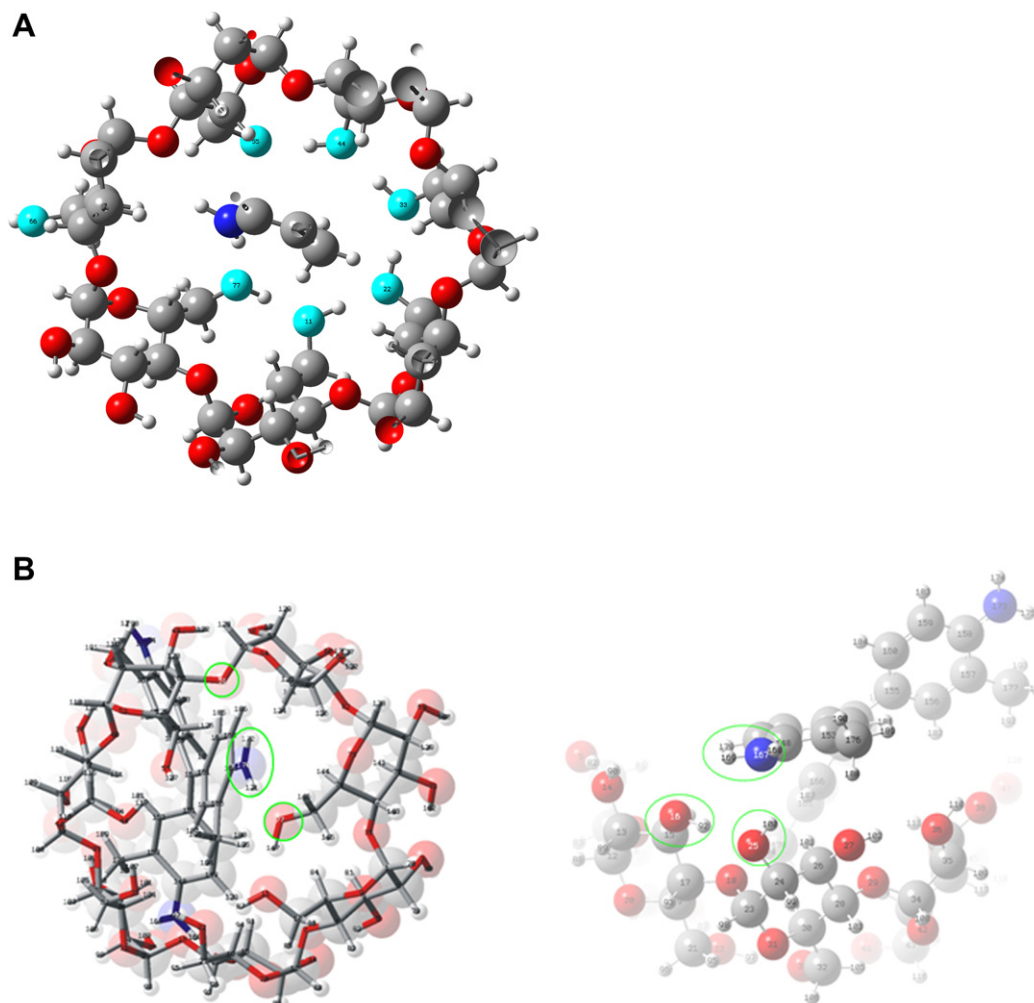
system, it was possible to note that the almost double bond character of the C–C bond around the central carbon atom was approximately 0.07 Å shorter than the average of the other two C–C bonds. This fact could be associated with the electronic delocalization arisen when the dye interacts with the  $\beta$ -CD, changing their symmetry.

Taking into account the  $\beta$ -CD, conformational changes in the seven hydroxyl groups placed on the base of the  $\beta$ -CD were observed as a result of their interaction with the dye. Fig. 6 shows the main points of interaction. After the introduction of the Basic Violet 2 inside the  $\beta$ -CD, one of the hydroxyl groups rotates and points outwards with respect to the  $\beta$ -CD ring (Fig. 6A). This conformation allows the interaction between one of the remaining six hydroxyls (that lies at the bottom), and the amine group inside the cavity via hydrogen bond. A more clear explanation could be obtained from the NBO analysis. Table 3 shows the most relevant electronic delocalizations for both systems (distorted and not-distorted complexes). According to the NBO, the closer dye- $\beta$ -CD interaction takes place when the cyclodextrin has a distorted form. For the latter case, the higher stabilization energy (16.93 Kcal mol<sup>-1</sup>) is given for the electronic delocalization from one of the oxygen lone-pair orbital of the  $\beta$ -CD to the  $\sigma$  nitrogen-hydrogen antibonding orbital of the Basic Violet 2 (denoted as  $Lp(2) O_{77} \rightarrow \sigma^* N_{170}-H_{171}$ ); this interaction is not observed in the not-distorted system. As already mentioned, this fact is straightforwardly related to the changes in the dye symmetry, and thus in the absorption spectra. Additionally, the N–OH distance of 1.87 Å found further confirms this assumption. As a consequence of the electronic

**Table 2**

$K_B$  values for the different calculation alternatives.

	$K_B$	$R$
Absorption maxima	$(2.7 \pm 0.2) \times 10^3$	0.999
Absorption areas	$(0.95 \pm 0.05) \times 10^3$	0.996
Quantum yield	$(2.2 \pm 0.2) \times 10^3$	0.999
Fluorescence maxima shift	$(2.8 \pm 0.8) \times 10^3$	0.977



**Fig. 6.** Tridimensional structure for the NF-β-CD inclusion complex model. A: Cross section view of the complex. Highlighted oxygen atoms belonging to hydroxyl groups. B: View of different arrangements to clarify the main groups involved in the interaction.

delocalization, an increased double bond character in N–C bond is generated.

On the other hand, the nitrogen atom of one of the rings outside the β-CD cavity interacts by hydrogen bond with one of the secondary hydroxyls situated in the upper zone of the cavity. In Table 3, it is possible to see that the delocalization giving rise to the interaction (Lp(2) O<sub>16</sub> → σ\*<sub>C<sub>149</sub>–H<sub>179</sub></sub>) occurs in both distorted and not-distorted systems. However, it is stronger in the former (1.09 Kcal mol<sup>−1</sup>). The resultant complex conformation is achieved

when the incoming ring approaches with an angle close to 30° with respect to the normal (Fig. 6B).

#### 4. Conclusion

HP-β-CDs include Basic Violet 2 to form host–guest complex and alter the spectroscopy properties of this dye. The enhancement in fluorescence intensity, the shifts in the maximum of absorption in aqueous solution and the theoretical calculi performed corroborate the inclusion complexes. Experimental and theoretical results were used to evaluate the association constants; the values of association constants determined are in agreement with each other indicating that the stability of the complex depends on the hydrophobicity of the guest molecule; the changes produced in the absorption spectrum can be successfully represented theoretically as composing of only two gaussian functions. The results of the theoretical calculations demonstrated that the formation of the complex modifies the symmetry of the dye since, free in solution, it presents equilibrium between D<sub>3</sub> and C<sub>2</sub> symmetry, displaced to C<sub>2</sub> symmetry when the dye is included in the HP-β-CDs cavity. Gaussian 03 software at the B3LYP/6-31G level together with the NBO analysis were used to perform molecular orbital conformational analysis to support the complex stability.

**Table 3**

Delocalization effects, orbital occupancies and stabilization energies for both inclusion complexes.

Orbitals <sup>a</sup>	Occupancy of acceptor orbital		Energies of stabilization (Kcal/mol)	
	Distorted complex	Not-distorted complex	Distorted complex	Not-distorted complex
Lp(2) O <sub>16</sub> → σ* <sub>C<sub>149</sub>–H<sub>179</sub></sub>	0.0161	0.0067	1.09	0.09
Lp(1) O <sub>51</sub> → σ* <sub>N<sub>170</sub>–H<sub>172</sub></sub>	0.0192	—	2.89	—
Lp(2) O <sub>77</sub> → σ* <sub>N<sub>170</sub>–H<sub>171</sub></sub>	0.0482	—	16.93	—
Lp(1) N <sub>167</sub> → σ* <sub>O<sub>25</sub>–H<sub>100</sub></sub>	0.0252	—	5.60	—
σ <sub>N<sub>170</sub>–H<sub>171</sub></sub> → σ* <sub>C<sub>163</sub>–C<sub>164</sub></sub>	0.0238	0.0155	3.97	1.94

<sup>a</sup> For atom numbering see Fig. 6B.

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